



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

2152  
#3  
BT  
9-30-02

In re application of

Docket No: Q63352

MATSUTANI, KIYOSHI

Appln. No.: 09/839,495

Group Art Unit: 2152

Confirmation No.: 2595

Examiner: Not yet Assigned

Filed: April 23, 2001

For: INFORMATION TERMINAL APPARATUS

RECEIVED

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents  
Washington, D.C. 20231

SEP 23 2002

Technology Center 2100

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Richard C. Turner  
Registration No. 29,710

SUGHRUE MION, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

Date: September 20, 2002

RECEIVED  
SEP 26 2002  
GROUP 3600



**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q63352

MATSUTANI, KIYOSHI

Appln. No.: 09/839,495

Group Art Unit: 2152

Confirmation No.: 2595

Examiner: Not yet Assigned

Filed: April 23, 2001

For: INFORMATION TERMINAL APPARATUS

**RECEIVED**

SEP 23 2002

**INFORMATION DISCLOSURE STATEMENT** Technology Center 2100  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**  
SEP 26 2002

Sir:

**GROUP 3600**

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

However, since a statement can be made, a Statement is being filed so that in the event an Office action has issued but has not yet been received by the undersigned, the Examiner will proceed to consider this Information Disclosure Statement.

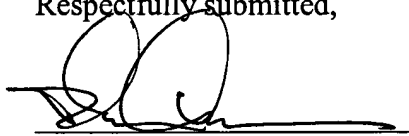
INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/839,495

Applicant is forwarding copies of an office action recently received in the counterpart German application. The German office action lists two references, DE 696 01 787 and DE 689 18 744. A patent family search has been conducted to locate English-language counterparts of these two references and applicant supplies copies herewith of USP 4,973,828, corresponding to DE 689 18 744, and Australian patent No. AU 9654032, corresponding to DE 696 01 787. As the submitted references are in English, no "concise explanation" thereof is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Richard C. Turner', is written over a horizontal line.

Richard C. Turner  
Registration No. 29,710

SUGHRUE MION, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

Date: September 20, 2002

Substitute for Form 1449 A &amp; B/PTO

Complete if Known


**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Use as many sheets as necessary)

Application Number	09/839,495
Confirmation Number	2595
Filing Date	April 23, 2001
First Named Inventor	MATSUTANI, KIYOSHI
Art Unit	2152
Examiner Name	Not yet Assigned
Attorney Docket Number	Q63352

1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 4,973,828		11/27/90	Naruse et al
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

**RECEIVED**  
 SEP 26 2002
**GROUP 3600****FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		EU	0 820 620		01/28/98		Yes
		DE	689 18 744		04/13/89		Yes
		DE	696 01 787		04/03/96		Yes
		WO	96/32701		10/17/96		Abstract
		AU	96/54032		10/30/96		Yes

**RECEIVED**

SEP 23 2002

Technology Center 2100

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.